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E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, AMERICAN INVESTMENT GROUP OF NY L.P PENSION PLAN - 75 Claremont Road, Suite 309, Bernardsville, NJ 07924, USA, was holding the below security over the dividend date.

Security Description:	AP Moeller - Maersk A/S
ISIN:	DK0010244508
SEDOL:	4253048
Ex Date:	01/04/2014
Record Date:	03/04/2014
Pay Date:	04/04/2014
Quantity:	1,000.00
Gross Div Rate:	1400
Amount Received:	1,022,000.00
WHT Suffered:	378,000.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to AMERICAN INVESTMENT GROUP OF NY L.P PENSION PLAN. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations

**Charlotte Woodward**

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**From:** Bottomley, Oliver (LDN) <obottomley@edfmancapital.com>  
**Sent:** 28 March 2014 15:22  
**To:** Stacey Kaminer; Mina, Sara (LDN)  
**Cc:** Foster, Victoria (LDN)  
**Subject:** RE: Trade Interest  
**Attachments:** ED&F280314MAERSKB.PDF; 1,000 MAERSKB DC - CONFIRM AMERICAN INVESTMENT GROUP (3.36 KB)

Hi Stacey,

Confirms attached.

Thanks,  
 Oliver

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**From:** Stacey Kaminer [mailto:SK@acerinvest.com]  
**Sent:** 28 March 2014 14:00  
**To:** Bottomley, Oliver (LDN); Mina, Sara (LDN)  
**Cc:** Foster, Victoria (LDN)  
**Subject:** Trade Interest

Hi All,

The following accounts are interested in purchasing at total of 1000 shares of MAERSKB DC, ISIN DK0010244508, T+3 and hedging their purchase by selling Bclear futures in the amount of 10 MAZ june expiry. Can you provide clearance for these transactions? Also, the accounts are looking for liquidity if you are able to source any please let them know.

AIGPP	AMERIC-INVGR	1,000
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Best,

Stacey

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**Charlotte Woodward**

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**From:** Oliver Bottomley (E D & F MAN CAPITAL) <obottomley2@bloomberg.net>  
**Sent:** 28 March 2014 15:20  
**Subject:** 1,000 MAERSKB DC - CONFIRM AMERICAN INVESTMENT GROUP

--- Original Sender: PAUL SCHOFIELD, E D & F MAN CAPITAL ---

----- Original Message -----

From: PAUL SCHOFIELD (E D & F MAN CAPITAL)  
To: OLIVER BOTTOMLEY (E D & F MAN CAPITAL)  
At: Mar 28 2014 15:11:57

Hi,

To confirm ED&F MCM (AMERICAN INVESTMENT GROUP OF NY L.P PENSION PLAN) BUY the following,

1,000 MAERSKB DC (DK0010244508) @ DKK 63,400.00  
@ DKK 63,400.7925 net

Trade date 28-Mar  
Value date 02-Apr

Thanks,  
Paul

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Marex Financial Limited  
 155 Bishopsgate  
 London EC2M 3TQ  
 Tel: +44 207 655 6000  
[www.marexspectron.com](http://www.marexspectron.com)



#### Futures Confirmation

**Trade Reference:** ED&F280314MAERSKB  
**Client:** ED&F Man Capital Markets  
**Futures Account:** BPS MCMEQT  
**Trader:** Oliver Bottomley  
**Trade Date:** 28 March 2014

CONTRACT	EXCHANGE	CONTRACT TYPE	EXPIRY	BUY/SELL	NO. OF LOTS	UNDERLYING	FUTURES PRICE
MAY	Bclear	Cash	Jun-14	SELL	10	MAERSKB DC	DKK 62,135.61

**Brokerage:** DKK 0.00

#### ADDITIONAL NOTES:

This confirmation shall, in the absence of manifest error, be conclusive and binding on you, unless we receive from you, an objection in writing within 24 hours to [efs@marexspectron.com](mailto:efs@marexspectron.com) or by calling us on (+44) 207 650 4496, failing which your trade confirmation shall be deemed correct and conforming with your own records. Times of trades and venue of execution are available on request.

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To read our full terms and conditions, please visit [www.marexspectron.com](http://www.marexspectron.com).



## Charlotte Woodward

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**From:** PAUL SCHOFIELD <pschofield@edfmancapital.com>  
**Sent:** 28 March 2014 15:13  
**Subject:** Bloomberg: 1,000 MAERSKB DC CONFIRM

**Greeting:** Equity Finance Desk: 0203 580 7637 Mob: 07810 543272

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Hi,

To confirm HSBC SELL the following,

1,000 MAERSKB DC (DK0010244508) @ DKK 63,400.00

Trade date 28-Mar

Value date 02-Apr

Thanks,  
Paul

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**Sent By :**

**B PAUL SCHOFIELD**, [pschofield@edfmancapital.com](mailto:pschofield@edfmancapital.com), [PSCHOFIELD9@Bloomberg.net](mailto:PSCHOFIELD9@Bloomberg.net), E D & F MAN CAPITAL

**Recipients :**

**B JONATHAN WARD**, [JONWARD@Bloomberg.net](mailto:JONWARD@Bloomberg.net), HSBC BANK PLC

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**Disclaimers :**

**E D & F MAN CAPITAL**

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Rosenblatt work product: extracts of shadow data

Firm	Date Basis	Ticket Sequence	ExtRefID	Trade Date	Entry Date	Settlement Date	Trade Account	Product Type	AssetAlias1	Trade Currency	Ticket State	Trade Transaction	Quantity	Trade Proceeds	FinalPrice	Asset Alias4	MasterAccount
E1	TRD	238706	EQ29684-108142	28/03/2014	2014-03-28 00:00:00.000	02/04/2014	1020035RISKPRICBC0	EQUITY	DK0010244508	DKK	ORG	BUY	1,000	63400792.50	63400.7925000000	MAERSKB	1020035RISKPRICBC0
E1	TRD	238706	EQ29684-108142	28/03/2014	2014-03-28 00:00:00.000	02/04/2014	1020010AMERICINVGR	EQUITY	DK0010244508	DKK	ORG	SEL	1,000	63400792.50	63400.7925000000	MAERSKB	1020010AMERICINVGR

SWIFT Message Detail		Retrieve	
Message ID 2789378			
SWIFT Information			
Msg Type	Direction		
545	From Swift		
Status	Loc ID		
Closed	N/A		
Related Ref	Loc SWIFT ID		
N/A	N/A		
Transaction Ref	Purpose		
PG401CRC3A001	N/A		
Asset Series	Curr Series		
281487	281486		
Reason Code	External ID		
N/A	N/A		
Trailer Hdr	User Hdr		
MAC:00000000{CHK:67F7CEBC66E1}	{108:154190520220000}		
Basic Hdr	App Hdr		
F01MACVGRZ2AXXU6Z3114728	05450200140402PARBFRPPHX0038Z31404020100N		
Load User	Load Date		
SHSYSTEM	02-04-2014 01:00:58		
Load Port	Proc User		
560S207NJ3VW07	N/A		
Proc Date	Proc Port		
[NULL]	N/A		
Duration	Instruction Date		
N/A	N/A		
ACK Date	OATS <input type="checkbox"/>		
[NULL]	OATS Sent <input type="checkbox"/>		
File Name			
06847865 TXT			
Memo			
Details (38)			
System Default			
Index	Tag	Value	
1	1 16R	GENL	
2	2 20C	BEMG/PG401CRC3A001	
3	3 29G	NEWM	
4	4 96C	PREP/20140402015715	
5	5 16R	LINK	
6	6 13A	UNIQ/541	
7	7 20C	RELAT/E1000028148701	
8	8 16S	LINK	
9	9 16S	GENL	
10	10 16R	TRADOET	
11	11 98A	TRAD/20140328	
12	12 98A	ESET/20140402	
13	13 35B	ISN DR0010244608	
14	14 000	AP MOELLER MAERSK A/S	
15	15 16S	TRADOET	
16	16 16R	FIAC	
17	17 36B	ESTT/UNIT/1000	
18	18 97A	SAFE/A133000010000778523F	
19	19 97A	CASH/4133000010000724796K	
20	20 94F	SAFE/CUST/N DEAD00000000	
21	21 16S	FIAC	
22	22 16R	SETOET	
23	23 22F	SETR/ITRAD	
24	24 16R	SETPRTY	
25	25 96P	DEAG/ESSEDK000000	
26	26 16S	SETPRTY	
27	27 16R	SETPRTY	
28	28 96P	SELU/RVT8888000	
29	29 97A	SAFE/05095114373	
30	30 16S	SETPRTY	
31	31 16R	SETPRTY	
32	32 96P	PSET/VPOK00000000	
33	33 16S	SETPRTY	
34	34 16R	AMT	
35	35 19A	ESTT/00003400782.5	
36	36 98A	VALU/20140402	
37	37 16S	AMT	
38	38 16S	SETOET	
			ED&F-00077543

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